

# Request to Rollover DC Asset Balance to New Corporate-type DC Plan

## 1. Entry of information on the person submitting the transfer request

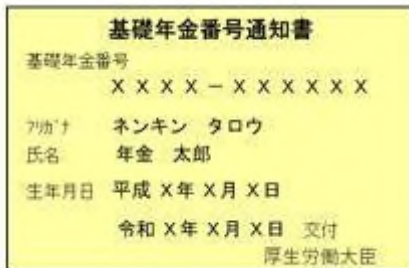
1.Applicant		▼ Please provide applicant information		A seal is not required when an applicant writes his or her signature.												
① Participant Name	Your Name			Seal	② Basic Pension Number	1	2	3	4	-	5	6	7	8	9	0
③ Date of Birth	19YY 年 1 月 1 日			④ sex	M <input type="checkbox"/> F <input type="checkbox"/>											
⑤ Home Address	Postal code	123 - 4567		Your Address												
Phone Number	( 090 )	1234 - 5678		※Please provide a phone number you												

① Please fill in the name of the applicant. (If the applicant signs the form him/herself, no seal is required.)

② Please check your "Basic Pension Number" in your "Basic Pension Number Notice" or "Pension Handbook".

<Image of Basic Pension Number Notification Letter>

<Image of Pension Handbook>



③④⑤ If the "Date of Birth", "Sex", "Home Address" or other information on the "Notice of Opening an Account for the Defined Contribution Pension Plan" sent by Sompo Japan DC Securities is incorrect, please ask the human resources department of your company to correct the

<Image of Notice of Opening an Account for the Defined Contribution Pension Plan>

確定拠出年金 口座開設のお知らせ	
平素より格別のお引き立てを賜り、厚く御礼申し上げます。 ご勤務先で実施されている企業型確定拠出年金制度につきまして、このたび口座開設いたしましたのでお知らせするとともに、以下お願いと連絡申し上げます。 ※本口座の開設にともない、以前確定拠出年金に加入され、特定運営管理機関で管理（自動移換）されている資産がある場合、ご本人からの申し出がなくても本口座に資産が移換される場合があります。	
【お願い】口座内容の確認 口座内容をご確認いただき、相違等についてはご勤務先の確定拠出年金担当者へ、ご連絡をお願いいたします。	
企業名	〇〇株式会社
社員コード	9999
氏名	橋本 太郎
住所	103-0050 東京都新宿区西新宿 1-25-1
電話番号	090-0001-0001
生年月日	YYYY年MM月DD日
性別	男
加入者資格取得日	YYYY年MM月DD日
初回拠出日	YYYY年MM月DD日

## 2. Information on the transfer destination

2.New Employer Information	▼ Please fill in the name of the company that you are currently working for.
Company Name	Company name of new employer

Please enter the name of the company to which you are transferring (your current employer). Please confirm the name of the company in the "Notice of Opening a Defined Contribution Pension Plan Account" when filling out the form.

### 3. Transferee Information

3. Former Pension Plan Information		▼ Please select the defined contribution pension plan from which you are transferring (the pension assets you have accumulated so far).								
<b>Types of Pension Plan</b>		Record Keeper (please check on your "Notice of Loss of Participant Eligibility for Corporate-Type DC Pension Plan and Necessary Procedures", "Account Statement for Defined Contribution Pension Plan", etc.)								
① ↓ <input type="checkbox"/> 1. Corporate-type DC  <input type="checkbox"/> 2. Individual-type DC (iDeCo)	→	<input type="checkbox"/> ②	Sompo Japan DC Securities Inc.	0	0	0	0	0	1	5
		<input type="checkbox"/>	Japan Investor Solutions and Technologies Co., Ltd. (JIS&T)	0	0	0	0	0	1	1
		<input type="checkbox"/>	Nippon Record Keeping Network Co., Ltd. (NRK)	0	0	0	0	0	7	4
		<input type="checkbox"/>	SBI Benefit Systems Co., Ltd.	0	0	0	0	1	1	5
If you select Corporate-type DC, please fill in the right column as well.		③ Company Name	Company name of previous employer							
		Date of losing participant	20△△ 年 4 月 1 日 ※Leave it blank if unknown.							
<input type="checkbox"/> 3. Automatic Rollover (NPFA)	If you do not complete the necessary procedures within 6 months of losing your participant eligibility in the corporate-type DC, your assets may be transferred to the National Pension Fund Association (NPFA). If your assets have been automatically transferred, please select "3. Automatic Rollover".									
Record keeper: Designated plan administrator (managed by JIS&T)										
8 8 0 0 0 0 0 0										

Please indicate the defined contribution pension plan in which you were previously a member.

① Please provide information on the defined contribution pension plan in which you were previously enrolled. Please check an applicable box for the former pension plan.

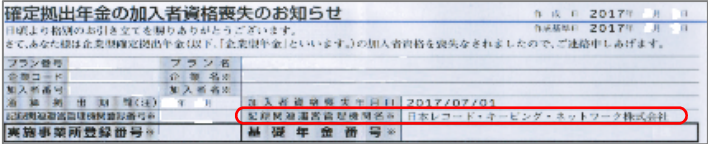
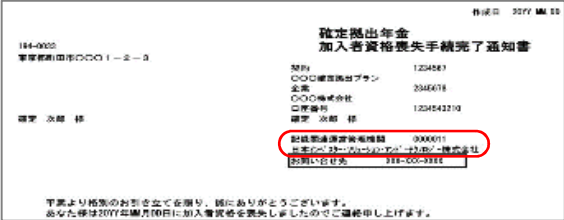
② If the former pension plan is a corporate-type DC or an individual-type DC (iDeCo), please check the record keeper of the former pension plan. The record keeper is shown in the "Account Statement for Defined Contribution Pension Plan" and "Notice of Loss of Participant Eligibility for Corporate-Type DC Pension Plan and Necessary Procedures", etc. of the former pension plan. If you are not sure, please check with the call center or web service of the former pension plan.

③ In case of corporate-type DC, please provide the name of your former employer (the name of the company) and loss of participant eligibility date.

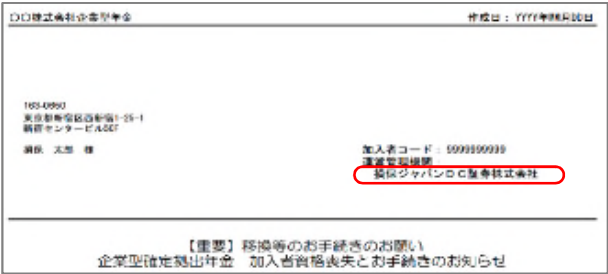
Reference: Image of "Notice of Loss of Subscriber Status"

Japan Investor Solutions and Technologies Co., Ltd. (JIS&T)

Nippon Record Keeping Network Co., Ltd. (NRK)



Sompo Japan DC Securities Inc.



\*The images are for illustrative purposes only. The designs may differ from the actuals.